

Attorney's Docket No.: 12732-032001 / US4876

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shunpei YAMAZAKI et al.

Art Unit : 2131

Serial No.: 09/842,219

Examiner: Ayaz Sheikh

Filed

: April 26, 2001

Title

: A SYSTEM FOR IDENTIFYING AN INDIVIDUAL, A METHOD FOR

IDENTIFYING AN INDIVIDUAL OR A BUSINESS METHOD

## MAIL STOP AMENDMENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449. In accordance with the PTO's waiver of 37 CFR 1.98 (a)(2)(iii), only copies of foreign patent documents and non-patent references are enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of C.F.R. § 1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: January 18, 2005

John F. Havden Reg. No. 37,640

Customer No. 26171

Fish & Richardson P.C. 1425 K Street, N.W. - 11th Floor

Washington, DC 20005-3500 Telephone: (202) 783-5070 Facsimile: (202) 783-2331

JFH/adt

40259459.doc

01/21/2005 MELETES 00000014-09842219

01-FG-1208-

600:00 OP

01/21/2005 MBELETE1 00000014 09842219

03 FC:1806

180.00 OP

Modified Waformation

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 12732-032001

Application No. 09/842,219

the formation Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant Shunpei YAMAZAKI et al.

Filing Date April 26, 2001 Group Art Unit 2131

98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2001-0031074	10/18/2001	Yamazaki et al.			04/13/2001
	AB	2001-0047479	11/29/2001	Bromba et al.			03/22/2001
	AC	2002-0001400	01/03/2002	Yamazaki et al.			04/26/2001
	AD	5,845,016	12/01/1998	Matsui et al.			06/27/1996
	AE	5,966,112	10/12/1999	Katagiri et al.			06/26/1997
	AF	6,028,581	02/22/2000	Umeya			10/21/1997
	AG	6,070,796	06/06/2000	Sirbu			02/20/1998
	AH	6,327,376	12/04/2001	Harkin			12/03/1998
	AI	6,456,279	09/24/2002	Kubo et al.			07/13/1999
	AJ	6,476,374	11/05/2002	Kozlowski et al.			04/25/2000
******	AK	6,484,260	11/19/2002	Scott et al.			04/24/1998
	AL	6,539,101	03/25/2003	Black			03/24/2000
	AM	6,587,873	07/01/2003	Nobakht et al.			01/26/2000
	AN	6,594,505	07/15/2003	Ishii			07/07/1999
	AO	6,657,538	12/02/2003	Ritter			09/28/1999
	AP						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication			Sub-	Translation	
Initial	ID	Number	Date	Patent Office	Class	class	Yes	No
	AQ	DE20008345	08/17/2000	Germany			Abstract	
	AR	DE19809006	09/09/1999	Germany			Abstract	
	AS	EP0 593 386	04/20/1994	Europe			In English	
	AT	WO98-40962	09/17/1998	WIPO/PCT			In English	
, <del></del>	AU	WO99-24938	05/20/1999	WIPO/PCT			Abstract	
1	AV	WO99-28701	06/10/1999	WIPO/PCT			In English	
	AW	WO00-17823	03/30/2000	WIPO/PCT			Abstract	
	AX							

Examiner	Signature
L. Aditiii Ci	Oignature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document			
	AY	European Search Report (Application No. 01111375), February 2, 2004, 3 pages.			
	AZ				
	AAA				
	ABB				

Examiner Signature Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.